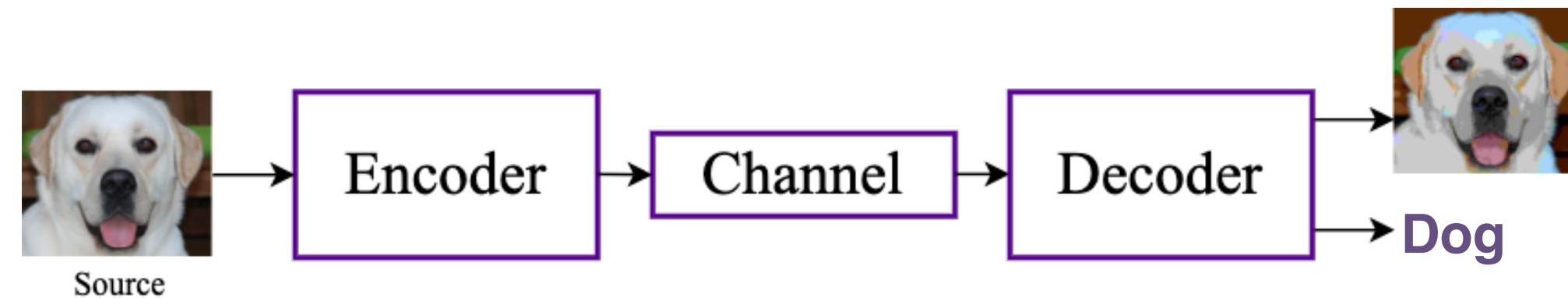


## I. Introduction

**Motivation:** Compression scenarios where we are interested not only reconstructing the source but also making inferences from it.

- Image compression with classification
- Speech compression with underlying text

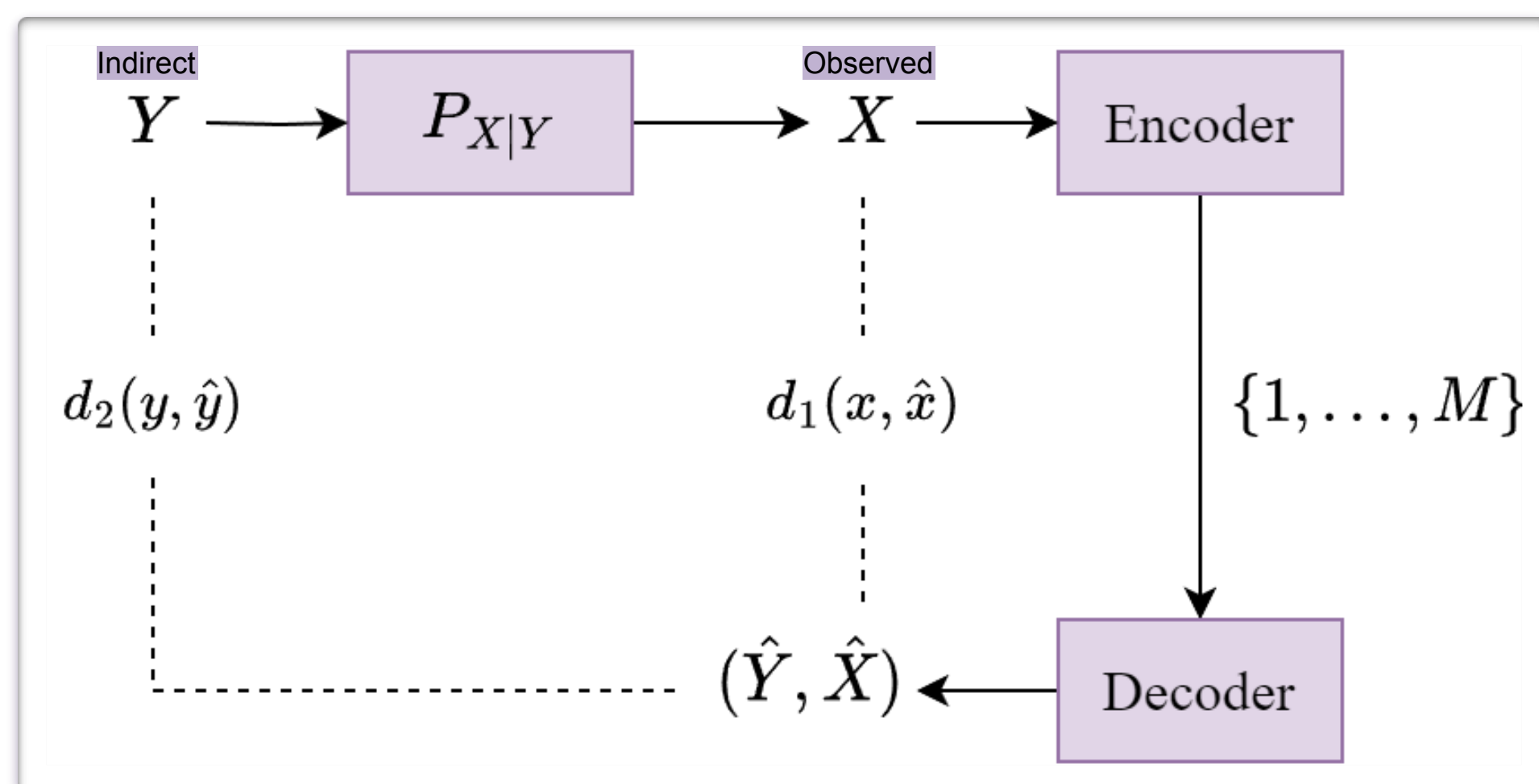
In both examples, **both reconstruction and the inference** tasks are desirable.



**Our approach:**

- Model the setting as a combination of **direct and indirect source coding** problem.
- Assume that the source has two parts: a **direct part** that is directly observed by the encoder and an **indirect part** that has to be inferred.
- **Single-shot source coding** approach with **excess distortion** probability constraint. Single-shot setting is relevant in settings requiring low latency (sensor networks in **autonomous cars**) and the **modern neural compressors** work in a single shot manner.

## II. System Model



## III. Objectives

**Excess distortion probability:** The probability of exceeding either distortion levels.

$$\mathbb{P} \left[ d_1(X, \hat{X}) > D_1 \cup d_2(Y, \hat{Y}) > D_2 \right] \leq \epsilon$$

Find **achievability** and **converse** bounds for minimizing the excess distortion probability under fixed length **single-shot rate constraint**.

## IV. Large Blocklength

- $(X^n, Y^n)$  sampled i.i.d. from  $P_{X,Y}$  and **jointly compress block of  $n$**  with  $n \rightarrow \infty$ . expected distortion constraint. The rate distortion function is given by [1]:

$$R(D_1, D_2) = \min_{p(\hat{x}, \hat{y}|x)} I(X; \hat{X}, \hat{Y})$$

$$\text{s.t. } \mathbb{E}[d_1(X, \hat{X})] \leq D_1$$

$$\mathbb{E}[\hat{d}_2(X, \hat{Y})] \leq D_2$$

where  $\hat{d}_2(x, \hat{y}) = \mathbb{E}[d_2(Y, \hat{y}) | x]$

## V. Single-Shot Bounds

- We can extend the single-shot achievability and converse results from [2],[3] to obtain general bounds for our problem.

**A. Achievability:** The optimal excess distortion probability is less than:

$$\epsilon^*(M, D_1, D_2) \leq \inf_{P_{\hat{x}, \hat{y}}} \int_0^1 \mathbb{E} \left[ \mathbb{P} \left[ \pi(X, \hat{X}, \hat{Y}) > t \mid X \right]^M \right] dt$$

- The result is based on random coding
- Generate a codebook of  $M$  symbols
- For every  $x$ , the encoder picks the codeword that gives lowest probability of exceeding either distortion levels

**B. Converse:** Excess distortion probability for any encoder/decoder pair has to be greater than:

$$\epsilon^*(M, D_1, D_2) \geq \inf_{P_{\hat{x}, \hat{y}}} \sup_{\gamma \geq 0} \left\{ \mathbb{P} \left[ J_{X; \hat{X}^*, \hat{Y}^*}(X, Y, \hat{X}, \hat{Y}, D_1, D_2) \geq \log M + \gamma \right] - 2^{-\gamma} \right\}$$

• These general bounds are **hard to compute** due to optimization over probability distributions on  $\mathcal{X} \times \mathcal{Y}$ . Especially when support of  $X$  is large.

## VI. Logarithmic Loss Case

We can obtain special bounds for the case when the distortion metric of  $X$  is logarithmic loss  $d_1(x, \hat{x}) = -\log \hat{x}(x)$  where reconstructions are probability distributions (soft reconstruction).

**A. Achievability:** Using properties of logarithmic loss we can obtain a special bound that removes dependency on  $P_{\hat{X}}$

$$\epsilon^*(M, D_1, D_2) \leq \inf_{P_Y} \inf_{\gamma \geq 0} \inf_{0 \leq \epsilon' \leq 1} \left\{ \epsilon' \left( 1 - \mathbb{E} \left[ \eta(\epsilon')^M \right] \right) \right.$$

$$\left. + \mathbb{E} \left[ \eta(\epsilon')^M \right] (1 + 2^{1-\gamma}) \right.$$

$$\left. + 2^{1-\gamma} \sum_{k=1}^M \binom{M}{k} \frac{M}{k} \mathbb{E} \left[ \eta(\epsilon')^{M-k} (1 - \eta(\epsilon'))^k \right] \right.$$

$$\left. + \mathbb{P} \left[ I_X(X) > D_1 + \log M - \gamma \right] \right\}$$

$$J_{X; \hat{X}^*, \hat{Y}^*}(x, y, \hat{x}, \hat{y}, D_1, D_2) = I_{X; \hat{X}^*, \hat{Y}^*}(x; \hat{x}, \hat{y}) + \lambda_1(d_1(x, \hat{x}) - D_1) + \lambda_2(d_2(y, \hat{y}) - D_2)$$

$$\pi(x, \hat{x}, \hat{y}) = \mathbb{P} \left[ \{d_1(X, \hat{x}) > D_1\} \cup \{d_2(Y, \hat{y}) > D_2\} \mid X = x \right]$$

$$\eta(\epsilon') = \mathbb{P}[\pi(X, \hat{Y}) > \epsilon' \mid X]$$

**B. Converse:** The general bounds divides into two parts depending on which distortion constraint more restricting

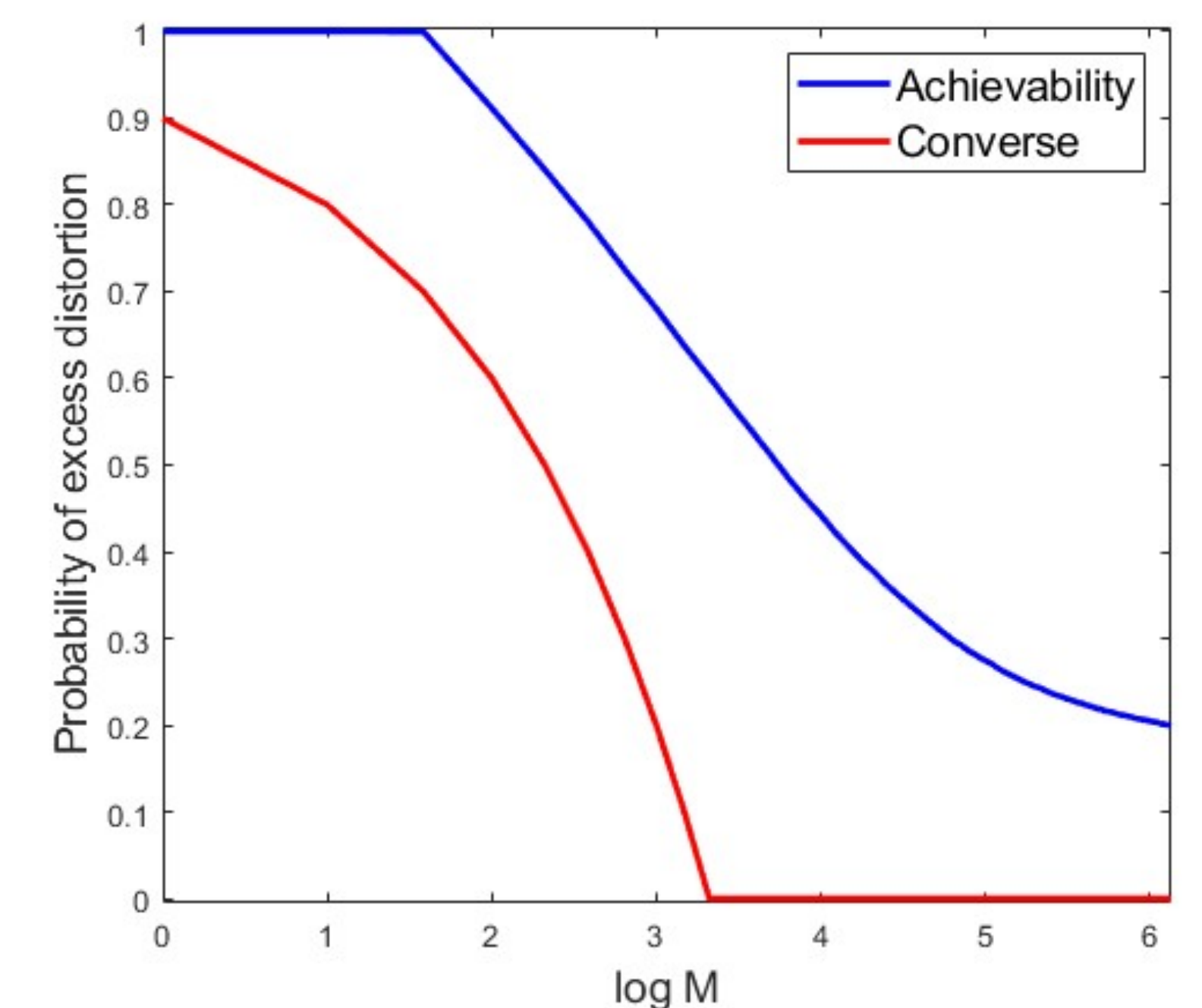
- When  $D_1$  is small compared to  $D_2$

$$\epsilon^*(M, D_1, D_2) \geq \sup_{\gamma \geq 0} \left\{ \mathbb{P} \left[ I_X(X) \geq D_1 + \log M + \gamma \right] - 2^{-\gamma} \right\}$$

- When  $D_2$  is small compared to  $D_1$

$$\epsilon^*(M, D_1, D_2) \geq \inf_{P_{\hat{Y}|X}} \sup_{\gamma \geq 0} \left\{ \mathbb{P} \left[ J_{X; \hat{Y}^*}(X, Y, \hat{Y}, D_2) \geq \log M + \gamma \right] - 2^{-\gamma} \right\}$$

**C. Example:** Numerical example with  $|\mathcal{X}| = 70$  and  $|\mathcal{Y}| = 10$ . Specialized bounds allows us to calculate the bounds when alphabet of  $X$  is large.



$Y \sim \text{Uniform}\{0, \dots, 9\}$  and  $X \sim \text{Binom}\{n = 7, p = 0.1\}$  given  $Y$  with non-overlapping alphabets.  $d_1(x, \hat{x})$  is logarithmic loss and  $d_2(y, \hat{y})$  is Hamming distortion.

## VII. Conclusion and References

- We study the joint inference and reconstruction problem and characterized upper and lower bounds to excess distortion probability.
- We obtain specialized achievability bound for the case where direct distortion metric is log-loss.
- Possible future direction is looking at the case where inference task is unknown and comes from a class of tasks.

[1] J. Liu, S. Shao, W. Zhang, and H. Vincent Poor, "An indirect rate-distortion characterization for semantic sources: General model and the case of gaussian observation," IEEE Transactions on Communications, pp. 1–1, 2022.

[2] V. Kostina and S. Verdú, "Fixed-length lossy compression in the finite blocklength regime," IEEE Transactions on Information Theory, vol. 58, no. 6, pp. 3309–3338, 2012.

[3] V. Kostina and S. Verdú, "Nonasymptotic noisy lossy source coding," IEEE Transactions on Information Theory, vol. 62, no. 11, pp. 6111–6123, 2016.