

# One-Shot Wyner–Ziv Compression of a Uniform Source

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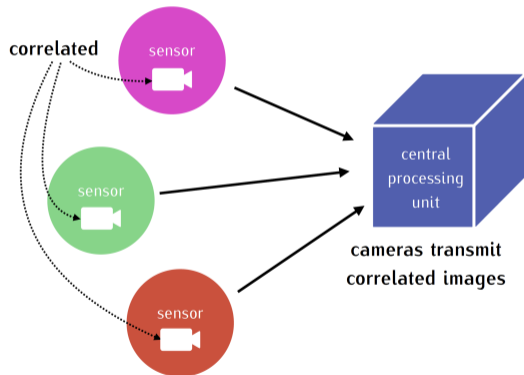


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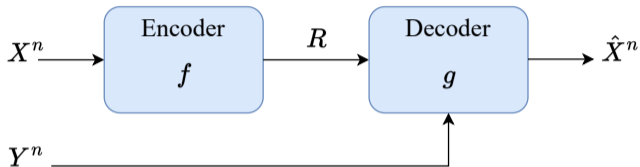
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# Distributed Compression



- Distributed sensor network such as a distributed camera array.
- Correlated observations transmitted to a central processing unit.
- How can the sensors exploit this correlation to send their data efficiently?

# Compression with Side Information



## Rate Distortion Function

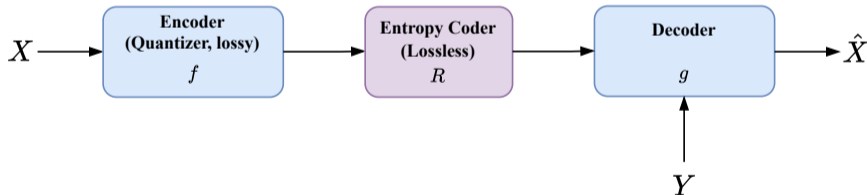
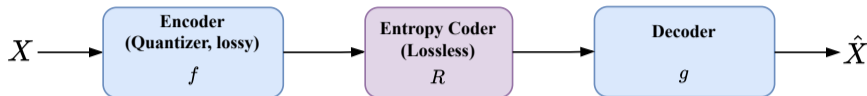
$$R(\Delta) = \min I(X; U) - I(Y; U)$$

where minimization is over all  $p(u|x)$  and  $g(U, Y) = \hat{X}$  such that  $\mathbb{E}[d(X, \hat{X})] \leq D$ .

Special case by Wyner and Ziv (1976):

- Compress  $X^n$  under some distortion constraint.
- Correlated **side information** is available only at the decoder:
- They characterize the rate-distortion trade-off in the asymptotic setting.
- **Asymptotic** methods do not directly apply to one-shot!

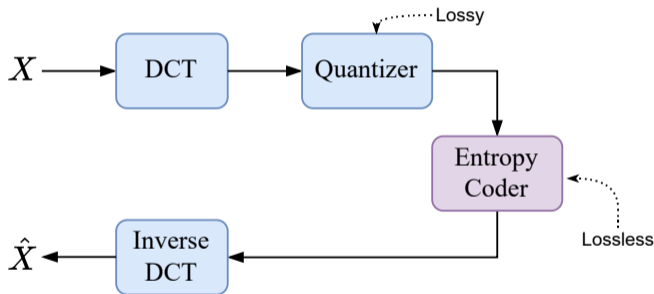
# One-Shot Compression with Side Information



- Popular approach for **point-to-point** (no side information) setting.
- **Quantizer** (encoder) followed by an **entropy coder** (lossless, variable length such

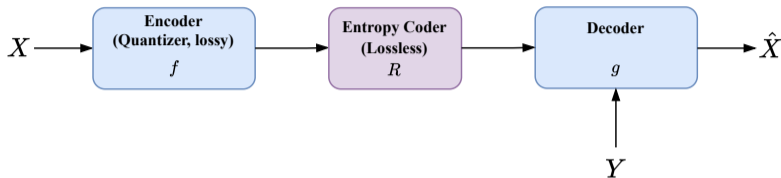
# Example: JPEG

- Classical image compression: JPEG



- Also popular method for learned compressors.
- In our problem, we have to include the side information!

# ECSQ with Side Information

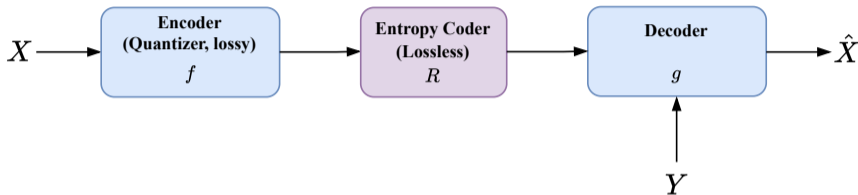


- Source  $X$ , side information  $Y$  and distortion metric  $d(x, \hat{x})$ .
- Encoder  $f : \mathcal{X} \rightarrow \mathbb{N}$ , decoder  $g : \mathbb{N} \times \mathcal{Y} \rightarrow \hat{\mathcal{X}}$ .
- The **entropy** and **distortion** of this encoder-decoder pair with  $\hat{X} = g(f(X), Y)$ :

$$H(f) = - \sum_i \mathbb{P}[f(X) = i] \log(\mathbb{P}[f(X) = i])$$

$$D_{SI}(f, g) = \mathbb{E}[d(X, \hat{X})]$$

# Side Information Entropy–Distortion Functions

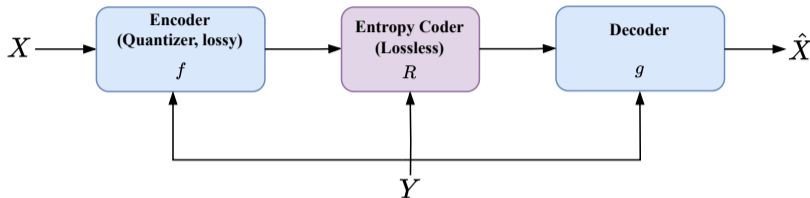


- **Entropy-distortion function with SI** is given by:

$$H_{SI}(\Delta) = \inf_f H(f)$$

- Infimum is taken over all encoders  $f$  such that there exists a decoder  $g$  with  $D_{SI}(f, g) \leq \Delta$ .

# Conditional ECSQ



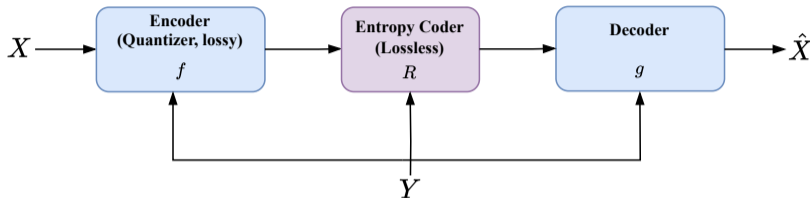
- Consider the case where  $Y$  is also available at the encoder. We refer to this case as **conditional ECSQ**.
- Encoder  $f : \mathcal{X} \times \mathcal{Y} \rightarrow \mathbb{N}$ , decoder  $g : \mathbb{N} \times \mathcal{Y} \rightarrow \hat{\mathcal{X}}$ .
- The **conditional entropy** and **distortion** of  $(f, g)$  with  $\hat{X} = g(f(X, Y), Y)$ :

$$H(f|Y) = \mathbb{E} \left[ - \sum_i \mathbb{P}[f(X) = i | Y = y] \log(\mathbb{P}[f(X) = i | Y = y]) \right]$$

$$D_C(f, g) = \mathbb{E}[d(X, \hat{X})]$$



# Conditional Entropy–Distortion Function



- Similar to the previous case, we define the **conditional entropy-distortion function** as:

$$H_C(\Delta) = \inf_f H(f|Y)$$

- The infimum is taken over all encoders  $f$  such that there exists a decoder  $g$  with  $D_C(f, g) \leq \Delta$ .

# Our Goal

We wish to characterize  $H_{SI}(\Delta)$  and  $H_C(\Delta)$ .

- We consider a uniform source on the unit interval  $[0, 1]$ .

## Motivation

- Recent interest in bench-marking performance of neural compressors on processes generated by uniform sources<sup>1,2</sup>.
- Emergence of neural compressors in decoder-only side information settings<sup>3</sup>.
- Even without SI, closed form expressions are only known for a limited source distributions.

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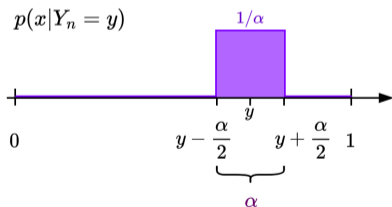
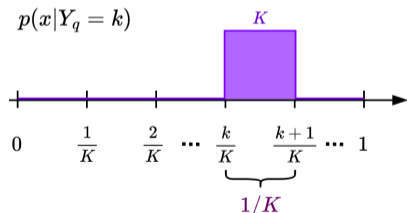
<sup>1</sup>A. B. Wagner and J. Balle, "Neural networks optimally compress the sawbridge," in 2021 Data Compression Conference (DCC). IEEE, 2021.

<sup>2</sup>S. Bhadane, A. B. Wagner, and J. Balle, "Do neural networks compress manifolds optimally?" in 2022 IEEE Information Theory Workshop (ITW). IEEE, 2022.

<sup>3</sup>E. Ozyilkan, J. Balle, and E. Erkip, "Neural distributed compressor discovers binning," IEEE Journal on Selected Areas in Information Theory, 2024.

# Source and Side Information

- $X \sim \text{Unif}([0, 1])$  and  $L_1$  distance  $d(x, \hat{x}) = |x - \hat{x}|$ .
  - The results are valid for any  $r^{\text{th}}$  power distortion.
- Two different SI settings:
  - 1 **Quantized**:  $Y_q = \lfloor KX \rfloor / K$  for some integer  $K \geq 1$ .
  - 2 **Noisy**:  $Y_n = X + Z \pmod{1}$  where  $Z$  is distributed uniformly on  $[-\alpha/2, \alpha/2]$ .



- Given  $Y$ ,  $X$  is distributed uniformly on a smaller set. Size  $1/K$  or  $\alpha$ .

# Source and Side Information

- Quantized SI,  $Y_q$  is a function of  $X$  so the encoder also has access to it.
  - ① For  $Y_q$  we consider the conditional model.
  - ②  $Y_q$  is a coarse quantized version of  $X$ .
- Noisy SI,  $Y_n$  is not available at the encoder because of the independent noise.

## Goals

- ① For  $Y_q$ : Characterize conditional entropy distortion function  $H_C^q(\Delta)$
- ② For  $Y_n$ : Characterize entropy-distortion function with side information  $H_{SI}^n(\Delta)$

# Optimal ECSQ for Uniform Source

Point-to-point entropy-distortion function<sup>1</sup>

For  $X \sim \text{Unif}([0, 1])$  and  $L_1$  distortion metric  $d(x, \hat{x}) = |x - \hat{x}|$ . The entropy-distortion function is given by:

$$U(\Delta) = \begin{cases} -\lfloor 1/p \rfloor p \log p - q \log q, & 0 < \Delta < 1/4 \\ 0, & \Delta \geq 1/4 \end{cases}$$

where  $q = (1 - \lfloor 1/p \rfloor p)$  and  $p \in (0, 1)$  is the unique solution to

$$\lfloor 1/p \rfloor p^2 + q^2 = 4\Delta.$$

- Achieved by quantizing  $X$  with biuniform intervals:  $\lfloor 1/p \rfloor$  intervals of size  $p$  and one of size  $q = (1 - \lfloor \frac{1}{p} \rfloor p)$

<sup>1</sup>A. Gyorgy and T. Linder, "Optimal entropy-constrained scalar quantization of a uniform source," IEEE Transactions on Information Theory, 2000.

# Quantized Side Information: Characterization

**Quantized SI:**  $Y_q = \lfloor KX \rfloor / K$ , coarsely quantized  $X$ .

## Quantized SI Conditional Entropy-Distortion Function

Consider  $X \sim \text{Unif}([0, 1])$  source and side information  $Y_q = \lfloor KX \rfloor / K$  for some integer  $K \geq 1$ . The conditional entropy-distortion function is given by

$$H_C^q(\Delta) = \min_{\{\Delta_k\}_{k=1}^K} \frac{1}{K} \sum_{k=1}^K U(K\Delta_k)$$
$$\text{s.t. } \frac{1}{K} \sum_{k=1}^K \Delta_k \leq \Delta,$$
$$\Delta_k \geq 0 \text{ for all } k \in \{1, \dots, K\}$$

# Quantized Side Information: Bounds

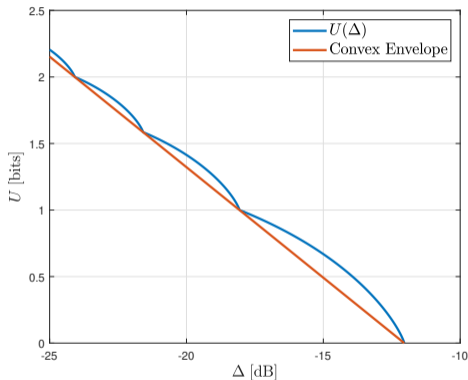
- The optimization problem is non-convex.
- We present simpler lower and upper bounds.

The lower and upper bounds to conditional entropy distortion function:

$$\check{U}(K\Delta) \leq H_C^q(\Delta) \leq U(K\Delta)$$

where  $\check{U}(\cdot)$  is the convex envelope of  $U(\cdot)$

- **Upper bound:** Set  $\Delta_k = \Delta$  for all  $k$ .
- **Lower bound:** Lower bound the optimization problem with a convex one.



# Noisy Side Information: Converse

**Noisy SI:**  $Y_n = X + Z \pmod{1}$  where  $Z$  is distributed uniformly on  $[-\alpha/2, \alpha/2]$ .

Lower Bound to the Entropy-Distortion Function with Noisy SI

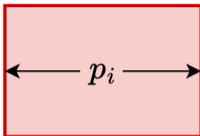
For  $X \sim \text{Unif}(0, 1)$  and  $Y_n = X + Z \pmod{1}$  with  $Z \sim \text{Unif}(\alpha/2, \alpha/2)$  the entropy distortion function is lower bounded as:

$$H_{SI}^n(\Delta) \geq \check{U}(\Delta/\alpha)$$

- **Genie-aided lower-bound:** We assume  $Y_n$  is also available at the encoder.
- Similar to the quantized case, we can obtain a lower bound in terms of the point-to-point entropy-distortion function.

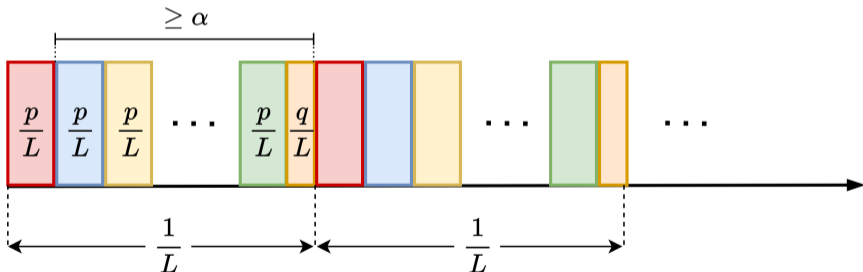


# Noisy Side Information: Achievability



# Noisy Side Information: Achievability

- Similar to point-to-point case, we choose biuniform  $p_i$ : **All but one** encoding indices have size  $p$ , **remaining one** has size  $q = 1 - \lfloor 1/p \rfloor p$ .
- Each is split into  $L$  **subintervals**, placed at least  $\alpha$  apart.



# Noisy Side Information: Achievability

## Upper Bound to the Entropy-Distortion Function with Noisy SI

For  $X \sim \text{Unif}(0, 1)$  and  $Y_n = X + Z \pmod{1}$  with  $Z \sim \text{Unif}(\alpha/2, \alpha/2)$  the entropy distortion function is upper bounded as:

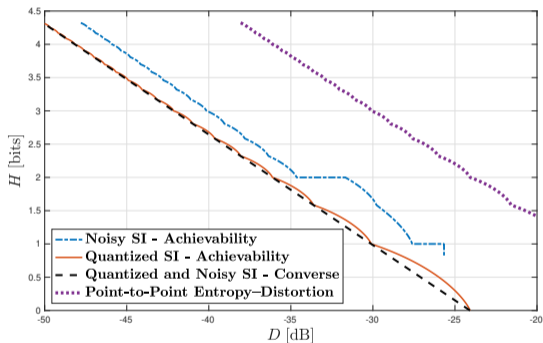
$$H_{SI}(\Delta) \leq -\lfloor 1/p \rfloor p \log p - q \log q$$

where  $q = (1 - \lfloor \frac{1}{p} \rfloor p)$  and  $p \in (0, 1 - \alpha)$  is the solution to

$$4\Delta = \left\lfloor \frac{1}{p} \right\rfloor \left( p \left( \frac{p}{L} \wedge \alpha \right) - \frac{L}{4\alpha} \left( \frac{p}{L} \wedge \alpha \right)^3 \right) \\ + \left( q \left( \frac{q}{L} \wedge \alpha \right) - \frac{L}{4\alpha} \left( \frac{q}{L} \wedge \alpha \right)^3 \right)$$

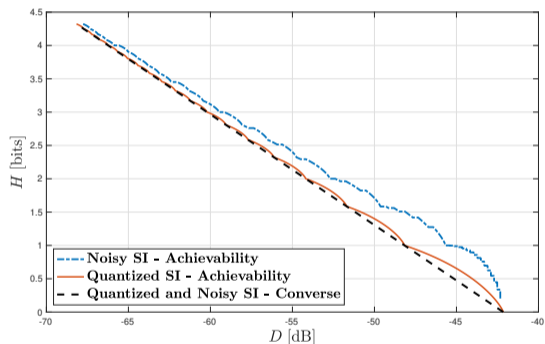
with  $L = \lfloor (1 - p)/\alpha \rfloor$  and  $(a \wedge b) = \min(a, b)$ .

# Illustrations of the Results



Entropy-distortion trade-off for quantized and noisy SI

$$K = 1/\alpha = 4$$



$$K = 1/\alpha = 32$$

## Conclusions & Future Work

- We investigated a one-shot Wyner–Ziv problem, i.e. entropy-distortion trade-off with SI, for a uniform source with two SI models.
- We presented upper and lower bounds for the entropy–distortion functions and showed that they get tighter at higher rates.

Future work:

- Complex sources, general side information.
- High rate regime analysis where our achievabilities are close to optimal.